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ABSTRACT

This bibliography lists publications that deal with the application of social science theory, methodology, and research to urban action programs and social policy planning. Entries were selected primarily with social and urban planners in mind; however, they will be of value to anyone interested in the relationship between the social sciences and social policy planning. Citations are classified into 15 topical sections, and each section is further divided into lists of "books and monographs" and "articles, papers, and chapters." In addition, there is a separate listing of 16 particularly important "principal works," as well as a list of case studies and illustrations and a list of relevant periodicals and other resource materials. Although this is not an annotated bibligraphy, a few citations give some detailed information on the content of a particular publication. (Author/JG)

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# THEORY, RESEARCH, POLICY AND ACTION:
## A Bibliography on Planning and Social Analysis

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# THEORY, RESEARCH, POLICY AND ACTION:

# A BIBLIOGRAPHY ON PLANNING AND SOCIAL ANALYSIS

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## INTRODUCTION

The purpose of this bibliography is to illustrate the application of social science theory, methodology and research to urban action programs and to social policy planning. It is based on the premise that appropriately applied social theory, methodology and research can make valuable contributions to planning, policy development and implementation. The reference selection is oriented primarily to social and city planners; however, the scope of the bibliography makes it important for anyone interested in the relationship between social science and social policy planning designed to attack urban and public problems.

This is a selective "working" bibliography. It consists of the following components: (1) a list of principal works; (2) fifteen topic sections; and, to lend concreteness and utility, (3) two additional sections: a listing of illustrations and specific case studies; and a beginning list of relevant periodicals and other resource materials. The "principal works" listed at the beginning, are important to the subject and some of these may be found, in whole or part, in other sections of the bibliography. Each topic section is divided into "Books and Monographs" plus "Articles, Papers, and Chapters."

This is not an annotated list. However, in a few cases, some detail of the concents of a book or article is given where it is felt to be especially useful to do so. Most of the listings here were written or published in the 1960's and 1970's, mainly from 1965 to 1975. But a few relevant works date from the 1950's or earlier. The remaining comments may clarify the contents of the bibliography sections.

A plan constitutes a policy; however, planning itself may be seen as a form of social action. Social science seeks to define, analyze and understand social reality. In contrast, planning endeavors to design viable plans for social change. Social science seeks to discover, investigate and clarify problems. Planning seeks to modify and improve problematical conditions. On this basis, the first section of the bibliography distinguishes between study versus action by viewing social science, e.g., sociology, as problem-finding, and planning as problem-solving.

The next five topic sections (II to VI) deal with the relationship between theory, methodology and research, plus their application to research issues, urban problems, and planning. The next following six topics deal with policy, its study and use, and especially its relationship to research and action. This is a very important part of the bibliography because policy is where social science and planning can fruitfully meet. Policy and policy research have each been defined as a guide to social action. The policy sciences "study the process of deciding and choosing, and evaluate the relevance of available knowledge for the solution of particular problems," according to Lasswell (1971). Planning is accomplished largely through decision-making, making choices among alternatives. The policy sciences approach is being applied to such areas as city planning, law and modernization.

This part of the bibliography includes a section of references on the meaning of "action" and the relation of policy to various forms of action, including law, public administration and city planning. But to illustrate the relation of policy to action in a more definitive way, a special section on social welfare policy and the wealth redistribution process is also provided. This literature is oriented toward both the advancement of "discipline" knowledge and social policy understanding and formation. It examines the relative importance of political versus socioeconomic variables for wealth redistribution via social welfare.

A section on social indicators is included, and for very good r ason. Social indicators are a form of monitoring, social measurement and social accounting. As such they can be utilized for policy, planning and action. They not only function to integrate theory and data; they can also be helpful for evaluation research. And evaluation research, on which there is also a section in the bibliography, can be employed as a means of estimating and judging the implementation of applied research, as well as for measuring the achievement of program goals and objectives.

Closely linked to these tasks of monitoring, measuring and evaluating, is the task of understanding and mastering the problems and process of actually utilizing knowledge and research for policy, planning and action. The fifteenth topic section of the bibliography is devoted to literature on such utilization.

To make the bibliography useful beyond these fifteen topic sections, we have added a list of case studies and illustrations, plus a list of suggested resource materials.

Most of the listings in this bibliography were gathered (1) for one of three courses on "Planning and Social Analysis," taught by Professor Jenkins for five years; and (2) for recent doctoral dissertation work by Mr. Seufert. Also, both authors share an interest in the social aspects of planning and social policy development.

PRINCIPAL WORKS

Books and Monographs

Caro, Francis G. Reader in Evaluation Research. New York: Russell Sage Foundation, 1971.

Centre for Utilisation of Social Sciences Research. Papers on Social Science Utilisation. Loughborough (Leicester), England: Loughborough University of Technology, 1972. Monograph 1.

Coleman, James S. Policy Research in the Social Sciences. Morristown: General Learning Press, 1972. Monograph.

Frieden, Bernard J. and Robert Morris, eds. Urban Planning and Social Policy. New York: Basic Books, Inc., 1968.

Horowitz, Irving L., ed. The Use and Abuse of Social Science: Behavioral Science and National Policy-Making. New Brunswick, New Jersey: Trans-Action Books, 1971.

Janowitz, Morris. Sociological Models and Social Policy. New York: General Learning Corporation, 1971. Monograph.

Merton, Robert K. Social Theory and Social Structure. Enlarged Edition. New York: The Free Press, 1968.

Moynihan, Daniel P., ed. Toward a National Urban Policy. New York: Basic Books, Inc., 1970. Especially Chapter 1:3-25.

Orlans, Harold. Contracting for Knowledge: Values and Limitations of Social Science Research. San Francisco: Jossey-Bass Publishers, 1973.

O'Toole, Richard, ed. The Organization, Management, and Tactics of Social Research. Cambridge: Schenkman Publishing Company, Inc., 1971.

Schnore, Leo F. and Henry Fagin, eds. Urban Research and Policy Planning. Beverly Hills, California: Sage Publications, 1967.

Solesbury, William. Policy in Urban Planning: Structure Plans, Programmes and Local Plans. Oxford: Pergamon Press, 1974.

Wheeler, Harvey. The Restoration of Politics. Santa Barbara: Center for the Study of Democratic Institutions, August 1965. Monograph.

Articles, Papers, and Chapters

Gelfand, Donald E. "The Challenge of Applied Sociology," The American Sociologist, 10, February 1975, 13-18. Deals with the relation of sociology to planning action as principal example.

Scott, Robert A. and Arnold Shore. "Sociology and Policy Analysis," American Sociologist, 9, May 1974, 51-59.

Street, David P. and Eugene A. Weinstein. "Problems and Prospects of Applied Sociology," American Sociologist, 10, May 1975, 65-72.

## I. SOCIOLOGY AS PROBLEM-FINDING. PLANNING AS PROBLEM-SOLVING

Books and Monographs

Bierstedt, Robert, ed. Florian Znaniecki on Humanistic Sociology. Chicago: University of Chicago Press, 1969. Especially "Methodological Note," 53-124.

Gold, Harry and Frank R. Scarpitti, eds. Combatting Social Problems: Techniques of Intervention. New York: Holt, Rinehart and Winston, 1967.

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Broady, Maurice. "The Social Context of Urban Planning," Urban Affairs Quarterly, 4, March 1969.

Deinum, Andries. "Searching for Portland," Speaking for Myself: A Humanist Approach to Adult Education for a Technical Age. Boston Center for the Study of Liberal Education for Adults at Boston University, 1966.

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Dewey, Richard. "The Neighborhood, Urban Ecology, and City Planners," in Cities and Society: The Revised Reader in Urban Sociology, Paul K. Hatt and Albert J. Reiss, Jr., eds. Glencoe, Illinois: The Free Press, 1957.

Gans, Herbert J. "American City Planning: A Sociological Analysis," in Herbert J. Gans, People and Plans. New York: Basic Books, 1968.

Gold, Harry and Frank R. Scarpitti. "The Professionalization of Problem Solving: Introduction," in Harry Gold and Frank R. Scarpitti, eds., op. cit.

Jenkins, Thomas H. "A Marx for Metropolitan Planners," unpublished paper prepared for the New University Thought group, 1964.

Merton, Robert K. "Notes on Problem-Finding in Sociology," in Robert K. Merton, Leonard Broom, and Leonard S. Cottrell, Jr., eds., Sociology Today-Problems and Prospects. New York: Basic Books, Inc., 1959.

Parsons, Talcott. "Evaluation and Objectivity in Social Science: An Interpretation of Max Weber's Contributions," in Talcott Parsons, Sociological Theory and Modern Society. New York: The Free Press, 1967. Especially 'Weber's Methodology of Social Sciences.' On the meaning of Weber's controversial "value freedom" (Wertfreiheit) as not being "the absence of all value commitments as a norm for social scientists," but rather as being the values of science or "'pure' disciplines," in contrast to the values and ideologies of "practical social policy" and "programs for 'social engineering'." (cf., Lester Ward's distinctions between "pure" and "applied" sociology, the latter being relevant to policy, planning and action.)

Rogers, David. "Conclusions: A Sociology of the Urban Crisis," in David Rogers, The Management of Big Cities: Interest Groups and Social Change Strategies. Beverly Hills, California: Sage Publications, 1971. Political setting, delivery systems, transactions, outputs.

Schutz, Alfred. "The Life-World," in Helmut R. Wagner, ed., Alfred Schutz on Phenomenology and Social Relations. Chicago: University of Chicago Press, 1970. A good characterization of practical knowledge (as distinct from scientific thought).

Schutz, Alfred. "Acting and Planning," in Helmut R. Wagner, ed., ibid. Different from Parsons' "role" approach to action, but similar to seeing "action" as a process. He deals with ideas of motivation, rationality, conscious action, rational conduct, rational action as "'planned' or 'projected' action," planning and rational choice, and "anticipation and projection."

Tunnard, Christopher. "The Customary and the Characteristic: A Note on the Pursuit of City Planning History," in Handlin and Burchard, eds., The Historian and the City. Cambridge: The M.I.T. Press, 1966. Paperback.

Znaniecki, Florian. "Sociological Ignorance in Social Planning," Sociology and Social Research, 30, November-December 1945, 87-100.

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Books and Monographs

Berger, Joseph, Morris Zelditch, Jr., and Bo Anderson, eds. Sociological Theories in Progress: Volume 2. Boston: Houghton Mifflin, 1972.

Borgatta, Edgar F. and Henry J. Meyer, eds. Sociological Theory: Present-Day Sociology from the Past. New York: Alfred A. Knopf, 1956.

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Martindale, Don. "Prefatory Remarks: The Theory of the City," in Max Weber, The City, Don Martindale, ed. New York: Collier Books, 1958. Paperback.

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Walton, John. "The Bearing of Social Science Research on Public Issues: Floyd Hunter and the Study of Power," in John Walton and Donald E. Carns, eds., Cities in Change. Boston: Allyn and Bacon, Inc., 1973.

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Merton, Robert K. "Role of the Intellectual in Public Bureaucracy," in Robert K. Merton, Social Theory and Social Structure. New York: The Free Press, 1968, enlarged edition.

Merton, Robert K. "Pattern of Influence: Locals and Cosmopolitans," in Robert K. Merton, ibid.

Millikan, Max F. "Inquiry and Policy: The Relation of Knowledge to Action," in Daniel Lerner, ed., op. cit.

Orlans, Harold. "Professional Ethics and Associations," in Harold Orlans, op. cit.

Orlans, Harold. "Public Responsibility of Intellectuals," in Harold Orlans, ibid.

Orlans, Harold. "Program Evaluation," in Harold Orlans, ibid.

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Orlans, Harold. "Freedom to Publish," in Harold Orlans, ibid.

Rodman, Hyman and Ralph Kolodny. "Organization Strains in the Researcher-Practitioner Relationship," in Francis G. Caro, Reader in Evaluation Research. New York: Russell Sage Foundation, 1971.

Shils, Edward A. "Social Inquiry and the Autonomy of the Individual," in Daniel Lerner, ed., op. cit.

## VII. URBAN RESEARCH AND POLICY PLANNING

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Fitch, Lyle C. "Social Planning in the Urban Cosmos," in Schnore and Fagin, eds., ibid.

Friesema, H. Paul. "Urban Studies and Action Research," Urban Affairs Quarterly, September 1971, 3-11.

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Long, Norton E. "Political Science and the City," in Schnore and Fagin, eds., op. cit.

Mayer, Harold M. "Urban Geography and City and Regional Planning," in Schnore and Fagin, eds., ibid.

Rustin, Bayard. "From Protest to Politics," Commentary magazine, February 1964.

Schnore, Leo F. and Henry Fagin. "Expanding the Horizon of Planning," in Schnore and Fagin, eds., op. cit.

Schnore, Leo F. and Henry Fagin. "Some Research Priorities," in Schnore and Fagin, eds., ibid.

Schnore, Leo F. and Henry Fagin. "Preface," in Schnore and Fagin, eds., ibid.

Schnore, Leo F. and Eric E. Lampard. "Social Science and the City: A Survey of Research Needs," in Schnore and Fagin, eds., ibid.

Special Issue: "From Theory to Policy: Economic Development and Urban Planning," Ekistics, 32, November 1971. Twelve articles, plus a foreword by the editors. The articles deal with theories and policies related to urban form and change, and general urbanization. They point to the problem of "integration of partial theories in the implementation of needed policies to deal with the present flood of people to the cities."

Strauss, Anselm L. "Strategies for Discovering Urban Theory," in Schnore and Fagin, eds., op. cit.

## VII. POLICY AND ACTION

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